Sauder School of Business THE UNIVERSITY OF BRITISH COLUMBIA Vancouver, B.C. V6T 1Z2

CURRICULUM VITAE

MARTIN L. PUTERMAN

Home Address:

Professor Emeritus 4234 W. 9th Ave.

Vancouver, B.C. V6R 2C5
Office Phone: (604) 822-8388

Phone: (604) 224-6754

e-mail: martin.puterman@sauder.ubc.ca website: http://orincancercare.org/puterman/

Date: May 20, 2019

I. EDUCATION

1962 - 1966 Cornell University, A.B. (Mathematics)
1966 - 1967 Purdue University, Computer Sciences Department

1967 - 1971 Stanford University, M.S. (Statistics),

Ph.D. (Operations Research), Ph.D. Dissertation, "On the Optimal Control of Diffusion

Processes," supervised by Arthur F. Veinott, Jr.

II. PROFESSIONAL EMPLOYMENT RECORD

a) Teaching or Research Positions Held Prior to UBC Appointment

1973/10 - 1974/06: Consultant, Massachusetts Public Interest Research Group.

1971/09 - 1973/08: Visiting Assistant Professor and Assistant Professor, University of

Massachusetts.

1970/09 - 1971/05: Volunteer Mathematics Teacher, Lothlorien High School.

1967/09 - 1969/04: Operations Analyst, Stanford Research Institute.

1967/06 - 1967/09: Mathematician, Aerospace Corporation

- **b)** Date of First UBC Appointment: July 1, 1974
- c) Rank of First Appointment: Visiting Assistant Professor

d) Subsequent Ranks and Dates of Promotion:

Assistant Professor, July 1, 1975 Associate Professor, July 1, 1979

Professor, July 1, 1986

Advisory Council Professor of Management, 1991-2000 Associate Dean, Research and Communications, 1999 - 2000

Advisory Board Professor of Operations, 2000 - 2014

Half Time Appointment July 1, 2011 Professor Emeritus, July 1, 2014 e) Date of Granting of Appointment without Term: July 1, 1980

f) University & Faculty Teaching and Service Responsibilities:

Teaching: Commerce 110, 111, 210, 211, 212, 291, 311, 312, 314, 315, 411, 515,

518, 580, 581, 582, 584, 585, 586, 589, 612; BABS 502, 504;BATL

510, BAMS 501,502, 514,517,518,580A; BAHC 510, 580A

Mathematics 318, 405; Statistics 405, EMBA 512

Service g)

Sauder School of Business:

Course Coordinator: Commerce 210 (1974-1978), 111(1979), 311(1980,1986,1988),

211(1981-1983), 212(1982), 312(1989), 291(1998)

Member: Curriculum Committee; Undergraduate Quantitative Methods Review

> Committee; Ad Hoc Committee on Undergraduate Program; Ph.D. Committee; Dean's Advisory Committee on Research; Appointment, Promotion and Tenure Committee, Dean Search Committee, Health

Dean's Advisory Committee

Chair: Appointment, Promotion and Tenure Committee. (1988-1990)

Management Science Division, July- Dec, 1992.

Dean's Performance Advisory Committee, 1996-1998,2003-2006

Coordinator: IAMS Student Seminar, Statistics Workshop

University: Senior Appointments Committee (1987-1988, 1990-1992)

University Industry Liaision Committee (1996-2004)

Research Awareness Committee (1997)

h) Leaves of Absence

July 1, 1980 - June 30, 1981: Survey Statistician, Energy Information Administration, U.S.

Department of Energy, Washington, D.C.

July 1, 1986 - June 30, 1987: Research Division, Children's Hospital and University of California,

Santa Barbara, Dept. of Applied Probability and

Statistics

Jan. 1, 1993 - Dec. 30, 1993: Newcastle University, Australia, Statistics Department (Jan -July),

UBC (July- Dec).

Sept.1,2001- Aug. 31, 2002 Centre for Operations Excellence – UBC Commerce

Jan1, 2007- Dec 31, 2007 Vancouver, BC

Associate Member Department of Pediatrics, Faculty of Medicine, U.B.C. i)

Department of Statistics, Faculty of Science, U.B.C.

III. PROFESSIONAL ACTIVITIES

a) Memberships in Professional Societies

INFORMS

Canadian Operations Research Society

b) Professional Service Outside Sauder School of Business

Principal Investigator and Academic Lead on the CIHR Team for Operations Research in Quality Cancer Care -2007-present

Associate Editor: Operations Research (1980-1986)

Management Science (1977-1981) Stochastic Models (1986-1989)

Mathematics of Operations Research (1995- 2003) Production and Operations Management (2009-2011)

Manufacturing and Service Operations Management (2010-2011)

NSERC Grant Selection Committee - Industrial Engineering (1991- 1992, 1993-1995)

Associate Book Review Editor: Journal of American Statistical Association (1977-1979)

Referee: Annals of Statistics, Biometrika, Canadian Journal of Statistics, Communication in Statistics, IEEE Transactions on Automatic Control, Journal of Finance, Journal of Optimization Theory and Its Applications, Management Science, Mathematics of Operations Research, National Research Council(U.S.), Natural Science and Engineering Research Council, Operations Research, Operations Research Letters, Psychological Bulletin, SIAM Journal on Linear Algebra and Its Applications, SIAM Journal on Control, Stochastic Processes and Their Applications, Zeitschrift fur Operations Research, Technometrics, Annals of Operations Research, Stochastic Models, Biometrics, Advances in Applied Probability, Psychometrika, etc....

Director and Founder: British Columbia Children's Hospital Biostatistical Consulting Service (1985 - 1990).

Founder: Statistical Consulting and Research Laboratory, Newcastle University (1993).

Organizer: International Conference of Dynamic Programming, University of British Columbia, April 1977.

Publicity Director and Run Organizer: CORS/TIMS/ORSA 1989.

Chairman: Workshop on Clinical Trials, Vancouver, BC, 1990

Member: Vaccine Evaluation Centre Advisory Committee 1988-1990

Member: Health Services & Policy Research Support Network Steering Council - 2004

President: Biostatistics Section of Statistical Society of Canada (1990-1991)

Committee Member:

Statistical Society of Canada - Bylaws Committee (1990-1993) Canadian Journal of Statistics Editor Search Committee 1991

Prize Committee Memberships

COPSS Snedecor Award Committee (Best Publication in Biostatistics Award) - 1993-1995 (Chair

```
Canadian Journal of Statistics Award Committee - 1995-1997 (Chair 1997)
  INFORMS Applied Probability Prize Committee 1997-2000 (Chair 1999)
  Canadian Operations Research Society Practice Prize Committee 2001
  MSOM Student Paper Award Committee -2001
  CORS Award of Merit - 2006-2007
Chairman; CORS 2003 - Vancouver
Invited Lectures (1990-present):
  SIAM Conference on Stochastic Control, Minneapolis - Sept 1992
  Queensland University - Statistics Department - May 1993
  Queensland University of Technology - Statistics Department - May 1993
  University of New South Wales - Statistics Department - June 1993
  NSF Symposium on Reinforcement Learning - Harper's Ferry, W.Va. April 1996
  Conference on Computational Economics - Geneva, June 1996
  Dutch Operations Research Society Symposium - Leiden, June 1997
  Swiss Doctoral Colloquium - Zinal Switzerland - March 1999
  University of Michigan – April 2000
  University of Toronto - October 2001
  University of Chicago - May 2003
  Northwestern University - May 2003
  University of Calgary - October 2004
  UBC-Okanagan - Sept 2009
  Zuse Institure - Berlin - October 2009
  McGill - April 2010
  Washington Univ. - May 2010
  Stanford - October 2010
  Univ. of Michigan - April 2011
  Univ. of Chicago - April 2011
  NYU - October 2011
  Columbia – October 2011
  Georgia Tech – April 2012
  CREATE Summer School - McGill - May 2013
  Lund University – September 2013
  Amsterdam Free University - September 2013
  Hong Kong City University - January 2014
  University of Toronto - April 2014
  University of Chicago - May 2014
  SIAM conference on Optimization – May 2014
  ORAHS 2015- University of Montreal – Plenary Speaker – July 2015
  Numerous INFORMS and CORS Conference
```

Stanford University Clinical Evaluation Research Center – guest faculty, (2011-present)

c) Research Centres

1995)

Director and Founder: Bureau for Research on Applications of Management Science and Statistics-1995-1998

Director and Founder: Centre for Operations Excellence, 1998-2005

Director and Founder: UBC Centre for Health Care Management 2005-2006 Research Director: UBC Centre for Health Care Management 2006-2011

d) Academic or Professional Awards

Most Prestigious

Lanchester Prize, INFORMS, 1995. (Best Publication Award) CORS Award of Merit – 2005 Informs Fellow – 2006

Others

Leverhulme Fellowship Award, The University, Sheffield, United Kingdom, January 1974.

Commonwealth Visiting Professorship, University of Manchester, July 1978.

UBC Commerce Outstanding Research Award -2000

UBC Commerce Talking Stick Award for Innovative Course Development -2001

PIMS Industry Outreach Award - 2001

CORS Practice Prize – 2002, 2005

INFORMS Case Competition – 2003 – First Prize

Pierskalla Prize – Best Health Care Operations Research Paper – INFORMS 2009

Laurent Picard Lecturer - McGill - 2010

f) Consultancies

Angus Reid Group, BC Assessment Board, Workers Compensation Board of BC, Canadian Tire Pacific Associates, Edwards, Kenny and Bray, Powertech Inc., Logic Installations, Ferrence and Weicker, BC Hydro, Fanny's Fabrics, Michael Smith Foundation, Teekay Shipping, Providence Health Care in addition to numerous COE Partners and clients

IV. RESEARCH AND SCHOLARLY ACTIVITIES

a) Areas of Special Interest and Accomplishment

- 1. Health Care Operations Research
- 2. Markov decision processes Theory, Computational Methods and Applications.
- 3. Statistical Modeling and Forecasting

b) RESEARCH GRANTS

MITACS - National Center for Excellence (Project Leader)

Applied Probabilistic Models	
1999-2000	\$150,000
2000-2001	\$130,000
2001-2002	\$150,000
2002-2003	\$110,000
2003-2004	\$125,000
Health Care Operations Research	
2006-2007	\$ 50,000
2008-2009	\$57,500

Natural Science and Engineering Research Council

Operating Grant - Computational Methods in Dynamic Programming and Statistics

1983-1986	55,065
1986-1988	63,000
1988-1991	88,500
1991-1995	96,000
1995-2000	155,000

Discovery Grant - Adaptive Decision Making in Random Environments: Inventory, Revenue and Supply Chain Management

2001-2007	252,000
2007-2012	252,000

Discovery Grant- Health Care Dynamic Programming

2012-2017 170,000

Equipment Grants

1994 (with D.Atkins) 30,547 1996 12,016 2001 65,000

Industrially Oriented Research Grant - Optimal work flow control for cycle time reduction in a manufacturing system

1997 (with H. Chen) \$40,500

CIHR – Operations Research in Quality Cancer Care (co- PI)

2007-2012 \$1,490,000

KT Grant - Implementing Chemotherapy Scheduling Software

2011-2012 \$100,000

COE – Industrial Partnership Funding

Approximately \$500,000 per year 1998- present.

British Columbia Children's Hospital, - Research Division - Biostatistical Consulting Service

 1985
 15,000

 1986
 32,000

 1987
 36,000

 1988
 53,000

Vancouver Foundation - Use of Digitized Echocardiography to predict anthracycline cardiomyopathy in paediatric cancer patients (co-investigator)

1985-1989 50,632

Workshop on Design and Management of Clinical Trials - Children's Miracle Network Telethon

1989 15,000

Worker's Compensation Board of BC - Claims Forecasting

1995 76,740

BRAMSS (BC ASI and Corporate Contacts)

1996-1997 \$35000

HSS Large Grant - "Enhancing Stock Sustainability by Efficient Use of Fish Processing Facilities" with MA Begen

2003-2005 \$9000

c) THESES SUPERVISED

Ph.D.

Moon Chirl Shin, Computational Methods in Dynamic Programming

Bernard Lamond, The Generalized Inverse in Average Reward Markov Decision Processes

Brian Leroux, Maximum Likelihood Estimation for Mixture Distributions and Hidden Markov Models,

(The Pierre Robiallard Award (1989) for most outstanding Canadian Ph.D Dissertation in Statistics)

Peiming Wang, Mixed Regression Models for Discrete Data

Keith Freeland, Statistical Analysis of Discrete Time Series

Xiaomei Ding, Demand Estimation and Optimal Policies for Lost Sales Inventory Models,

(Best Student Paper ,INFORMS Section on Manufacturing and Service Operations Management - 1998)

Jonathan Patrick, Optimal Patient Scheduling

Fredrik Odegaard, Optimal Product Release in Internet Auctions

Mariel Lavieri, Prostate Cancer Treatment and Health Care Workforce Planning

(Pierskalla Prize – INFORMS Health Applications Section – 2009, 2nd Place INFORMS Dantzig Dissertation Prize, 2010)

Antoine Saure - Healthcare Scheuling Scheduling

Greg Werker – Strategic Resource Planning in Healthcare under Uncertainty: British Columbia Cancer Agency and Vancouver's Downtown Eastside

Master's.

Daymond Ling, A Diffusion Process Model for a Two Product Inventory System.

Jackie Hsu, Ridge Regression with Autocorrelated Errors.

Barbara Gosling, Selection of The Biasing Parameter in Ridge Regression

Peter Schumacher, Monitoring Cardiotoxic Effects of Chemotherapy

Murray Mackinnon, Collinearity in Generalized Linear Models

Iqbal Jamal, Controlling a Reservoir System in British Columbia

Brian Kapalka, Inventory Control in the Retail Sector: A Case Study of Canadian Tire Pacific Associates

(The Canadian Association of Logistics Management outstanding student paper award, 1995).

Cheryl Garden, Modeling Zero Inflated Count Data (Statistics Department)

Shoujun Luo, Statistical Inference for Seizure Data (Statistics Department)

Rafael Sanegre, Scheduling Customer Service Representatives for the Workers' Compensation Board of British Columbia

Alex Rapoport, Optimal Bucking for Decayed Trees

Jason Goto, A Markov Decision Process Model for Airline Meal Provisioning

(1999 CORS Student Paper Prize)

Vincent Morency, A Proposal for Improving the Meal Provisioning Process at Canadian Airlines

Ernest Urbanovich, Identifying High-risk Claims within the Workers' Compensation Board of British Columbia's Claim Inventory by using Logistic Regression Modeling

Anna Maria Kim, Contact Centre Staff Scheduling at Phillips, Hagar and North Investment Management Ltd.

Kyle Biswanger, Markov Decision Process Models for Airline Inflight Inventory Control

Remi Desmeules, Dynamic Bayesian Models with Motion Picture Revenue Forecasting

John Lee, Interactive Multi-Criteria, Multi-User Ranking Models.

Sheelagh Turner, Forecasting Models for Air Cargo Volumes

Bryan Read, Red, Yellow, or Green Light? Signalling Performance in the Workers' Compensation Board of British Columbia's Executive Dashboard

Ernest Wu, Aggregate Logistics Optimization for a Large Beverage Company

Sean Baird, Estimating Mixtures of Exponential Distributions Using Maximum Likelihood and the EM Algorithm to Improve Simulation of Telecommunications Networks

Kelly Chung, Expansion Planning at a Prominent Canadian Investment Management Firm

Iris Guo, Staff Scheduling and Workstation Allocation at UBC Libraries

Mariel Lavieri, Planning and Optimization for a Pulp and Paper Company

Mike Darud, (MHA), Statistical Analysis of Radiation Therapy Planning

Devon Poznanski, (MHA), Radiation Therapy Follow-up Practices

e) Thesis Committees

Ph.D. P. Windal, A.L. Ananthanarayann, D. Lane, H.Cheng, Kaan Katiriciogulu, Michael Armstrong, X.
 Shen, K. Sudhakar (Pathology), B. Rosen-Harris (Psychology, Univ. of Alberta), M. Vanderbosch,
 S. Chuchun Lin, T. Lauck (Mathematics), X. Song (Statistics), R.Dearden (Comp Sci.), S. Swami,
 M. Carlson, Robert St. Aubin, Bob Price (Computer Science), Sandra Regan (Nursing)

M.Sc. R. Pieter (Mathematics), E. Matsumura, N. Portman, R. Friedman, W. Wong (Computer Science), C. Pulfer, K. Caskey, S. Betton, plus numerous COE students.

f) University Examiner

<u>Ph.D.</u> V. Zeidan (Mathematics), C. Genet (Mathematics), H. Koster (Commerce), N. Fortin (Economics),R. Tribe (Mathematics), N. Zhang (Computer Science)

g) External Examiner

M. Turner (S.F.U. - Economics)

V. PUBLIC SERVICE

President Unit I Daycare Society, 1983 - 1984
Coach West Point Grey Little League, 1986 - 1994
Coach Queen Mary School, Senior Boys Basketball 1995
Chairperson Trimble After School Care Society, 1987-1990

Chairperson Temple Shalom Religious School Committee, 1991-1992

Board Member Jewish Family Services Agency, 1996 - 1999 Board Member Louis Brier Home for the Aged 2007-present

Member Advisory Committee on Utility Pricing to Mayor Kevin White, Boston,

Massachusetts, May 1974.

THE UNIVERSITY OF BRITISH COLUMBIA PUBLICATIONS RECORD

Name: MARTIN L. PUTERMAN Division: Operations and Logistics

Faculty: Sauder School of Business Date: May 20, 2019

BOOKS

Puterman, M.L., (Ed.) *Dynamic Programming and Its Applications*, Academic Press, 1978. (Proceedings of The International Conference on Dynamic Programming, Vancouver, 1978)

* Puterman, M. L., *Markov Decision Processes: Discrete Stochastic Dynamic Programming*, John Wiley and Sons, New York, NY, 1994, 649 pages. (Winner 1995 INFORMS Lanchester Prize for best operations research publication; paperback version released, March 2005.)

JOURNAL ARTICLES

- 1. Puterman, M.L., "Sensitive Discount Optimality in Controlled One-Dimensional Diffusions," *Annals of Probability*, 2,3:408-419 (1974).
- 2. Puterman, M.L., "A Diffusion Process Model for a Storage System," *Logistics, Volume 1, North Holland/TIMS Studies in the Management Sciences* (M.A. Geisler, Ed.) 1:143-159 (1975). (Invited paper)
- 3. Puterman, M.L., "Optimal Control of Diffusion Processes with Reflection, "*Journal of Optimization Theory and Its Applications*, 22, 1:103-115, 1977.
- 4. Puterman, M.L. and M.C. Shin, "Modified Policy Iteration Algorithms for Discounted Markov Decision Problems," *Management Science*, 24, 11:1127-1137, 1978.
- 5. Everitt, R., M.L. Puterman, N. Sonntag, and P. Whalen, "A Mathematical Programming Model for the Management of a Renewable Resource System: The Kemano II Development Project," *Journal of Fisheries Research Board of Canada*, 35, 2:235-246, 1978.
- 6. Puterman, M.L. and S.L. Brumelle, "On the Convergence of Policy Iteration in Stationary Dynamic Programming," *Mathematics of Operations Research*, 4,1:60-69, 1979.
- 7. Puterman, M.L., "On the Convergence of Policy Iteration for Controlled Diffusions," *Journal of Optimization Theory and Its Applications*, 33, 1:137-144, 1981.
- 8. Puterman, M.L. and M.C. Shin, "Action Elimination Procedures for Modified Policy Iteration Algorithms," *Operations Research*, 30, 2:301-318, 1982.
- 9. van Nunen, J.A.E.E., and M.L. Puterman, "On Computing Optimal Policies for G/M/s Queueing Systems," *Management Science*, 29, 6: 725-734, 1983.
- 10. Speert, D.P., Eftekhar, F. and M.L. Puterman, "Non-Opsonic Phagocytosis of Pseudomonas Aeruginosa Strains from Cystic Fibrosis Patients," *Infection and Immunity*, 43, 3; 1006-1011, 1984.
- 11. Schweitzer, P.J., M.L. Puterman, and K.W. Kindle, "Iterative Aggregation Disaggregation Procedures for Solving Discounted Semi-Markovian Reward Processes," *Operations Research*, 35, 3, 589-605, 1985.
- 12. Gosling, B.J. and M.L. Puterman, "Ridge Estimation in Regression Problems with Autocorrelated Errors: A

- Monte Carlo Study," Communications in Statistics, 14, 3, 577-614, 1985.
- 13. Kastrukoff, L.F., A.S. Lau, and M.L. Puterman, "Genetics of Natural Resistance to Herpes Simplex Virus 1: Latent Infection of the Peripheral Nervous System in Mice," *The Journal of General Virology*, 67, 613-631, 1986.
- 14. Sandor, G., M.L. Puterman, M.W. Patterson, M.A. Tipple and D.J. Vince, "The Effect of Pressure Loading, Volume Loading and Cardiomyopathy on Left Ventricular Diastolic Operant Chamber Stiffness and Myocardial Stiffness in Congenital Heart Disease, With Re-evaluation of Normal Paediatric Values," *Journal of the American College of Cardiology*, 8, 2, 371-378, 1986.
- 15. Junker, A., E.A. Clark, H.D. Ochs, M.L. Puterman and R.J. Wedgewood, "Abnormal Antibody Responses to Bacteriophage ØX174 in Patients with Acute Epstein-Barr Virus Infection" *Journal of Immunology and Immunopathology*, 40, 436-446, 1986.
- 16. Hancock, E.J., K. Pot, M.L. Puterman and A.J. Tingle, "Dissociation Between Rubella HAI Titers and Whole Virus ELISA Values in Congenital Rubella Syndrome", *The Journal of Infectious Diseases*, 154, 1031-1033, 1986.
- 17. Petty, R.E., D.W.C. Hunt, D.F. Rollins, M.L. Schroeder and M.L. Puterman, "Immunity to Soluble Retinal Antigen in Patients with Uveitis Accompanying Juvenile Rheumatoid Arthritis," *Arthritis and Rheumatism*, 30, 287-29, 1987.
- 18. Hordijk, A. and M.L. Puterman, "On the Convergence of Policy Iteration in Finite State Average Reward Markov Decision Processes: The Unichain Case," *Mathematics of Operations Research*, 12, 163-176, 1987.
- 19. Sandor, G., P. Schumacher, M.L. Puterman and R. Popov, "Normal Serial Variability for M-Mode and Digitized Echocardiography in a Paediatric Population," *Paediatric Cardiology*, 8, 23-26, 1987.
- 20. Puterman, M.L. and L.C. Thomas "A Note on Computing Optimal Policies for G/M/s Queueing Systems'," *Management Science*, 33, 939-943, 1987.
- 21. Lokitch, G., V. Singh, M.L. Puterman, W.J. Godolphin, S. Sheps, A.J. Tingle, F.Wong and G. Quigley, "Age-Related Changes in Humoral and Cell-Mediated Immunity in Down Syndrome Children Living at Home', *Pediatric Research*, 22, 536-540, 1987.
- 22. van Dijk, N. and M.L. Puterman, "Perturbation Theory for Markov Reward Processes with Applications to Queueing Systems," *Advances in Applied Probability*, 20, 79-98, 1988.
- 23. Puterman, M.L., "Leverage and Influence in Autocorrelated Regression Models," *Applied Statistics*, 37, 76-88, 1988.
- 24. Puterman, M.L., N. Hrboticky and S. Innis, "Nonlinear Estimation of Parameters in Biphasic Arrhenius Plots," *Analytical Biochemistry*, 170, 409-420, 1988.
- Thomas, E.E., M.L. Puterman, E. Kawano and M. Curran, "Evaluation of Immunoassays for the Detection of Rotavirus in Stool Samples from a Pediatric Population," *Journal of Clinical Microbiology*, 26, 1189-1193, 1988.
- 26. Magil, A.B., M.L. Puterman, M.S. Ballow, V. Chan, D.S. Lirenman, A. Rae and R.A.L. Sutton, "Prognostic Factors in Diffuse Proliferative Lupus Glomerulonehritus," *Kidney International*, 34, 511-517, 1988.

- 27. Lamond, B. and M.L. Puterman, "Generalized Inverses in Discrete Time Markov Decision Processes"_SIAM Journal of Matrix Analysis and Applications, 10, 118-134, 1989.
- 28. Lokitch, G., M.L. Puterman, W.J. Godolphin, S. Sheps, A.J. Tingle, and G. Quigley, "Infection and Immunity in Down Syndrome: A Trial of Long Term Low Dose Oral Zinc," *Journal of Pediatrics*, 114, 781-787, 1989.
- 29. Gribble, M.J., M.L. Puterman and N.M. McCallum, "Pyuria: Its Relationship to Bacteria in Spinal Cord Injured Patients on Intermittent Catheterization" *Archives of Physical Medicine and Rehabilitation*, 70, 376-379, 1989.
- 30. Chanson, S., M.L. Puterman and W. Wong, "A Markov Decision Process Model for Computer System Load Control", *INFOR*, 27, 387-402, 1989.
- 31. Mackinnon, M.J. and M.L. Puterman, "Collinearity in Generalized Linear Models," *Communications in Statistics*, 18(9), 3463-3472, 1989.
- 32. Hrboticky, N., MacKinnon, M.J., Puterman, M.L. and Innis, S.M. "Effect of a linoleic acid rich vegetable oil "infant" formula on brain synaptosomal lipid accretion and enzyme thermotropic behavior in the newborn piglet". *J. Lipid Research* 30, 1173-1184, 1989.
- 33. Tingle, A.J., K.H. Pot, F.P. Yong, M.L. Puterman and E.J. Hancock. "Kinetics of Isotype-Specific Humoral Immunity in Rubella Vaccine-Associated Arthropathy". *Clinical Immunology and Immunopathology*, 53, S99-S106, 1989.
- 34. Rotschild, A., A. Solimano, M.L. Puterman, J. Smith, A. Sharma, and S. Albersheim, "Large Magnitude Compliance Increase in Response to □-2 Agonist in Premature Infants Developing Bronchopulmonary Dysplasia," *Journal of Pediatrics*, 115, 984-991, 1989.
- 35. Rotschild, A., E. Ling, M.L. Puterman and D. Farquharson, "Neonatal Outcome of Prolonged Rupture of Membranes," *American Journal of Obstetrics and Gynaecology*, 162, 46-52, 1989.
- 36. Puterman, M.L., P. Schumacher and G.S.S. Sandor, "Optimal Choice of Prognostic Variables with an Application to Cardiac Monitoring Using M-Mode Echocardiography," *Statistics in Medicine*, 9, 273-286, 1990.
- 37. Haviv, M. and Puterman, M.L. "An Improved Algorithm for Solving Communicating Average Reward Markov Decision Processes" *Annals of Operations Research*, (special issue on Markov Decision Processes) 28, 229-242, 1991.
- 38. Rotschild, A., Chitiyat, D., Puterman, M.L., Phang, M.S., Ling, E., Baldwin, V., "Optimal Positioning of Endotracheal Tubes For Ventilation of Preterm Infants", *American Journal of Diseases of Children*, 145, 1007-1012, 1991.
- 39. Sandor, G.S., Puterman, M.L., P.Rogers, K.W. Chan, S. Pritchard, R. Popov, "Early Prediction of Anthracycline Cardionyapthy using Standard M-Mode and Digitized Echocardiography", *American Journal of Pediatric Hematology/ Oncology*, 14, 151-157, 1992.
- 40. Leroux, B.G. and Puterman, M.L., "Maximum Penalized Likelihood Estimation for Independent and Markov-Dependent Poisson Mixtures", *Biometrics*, 48, 545-558, 1992.
- 41. Le, N.D., Leroux, B.L. and Puterman, M.L., "Exact Likelihood Evaluation in a Markov Mixture Model for

- Time Series of Seizure Counts" *Biometrics*, 48, 317-322, 1992.
- 42. Haviv, M. and Puterman, M.L., "Estimating the Value of a Discounted Reward Process", *Operations Research Letters*, 11 267-272, 1992.
- 43. Massoud, E.A., Sekhon, H.S., Rotschild, A., Puterman, M.L., Matsui, R. and Thurlbeck, W.M., "In Vitro Branching Morphogenesis of the Fetal Rat Lung", *Pediatric Pulmonology* 15, 89-97, 1993.
- 44. Gribble, M.J. and Puterman, M.L., "Prophylaxis of Urinary Tract Infection in Persons with Recent Spinal Cord Injury: A Prospective, Randomized, Double-Blind, Placebo-Controlled Study of Trimethoprim-Sulfamethoxazole", *American Journal of Medicine*, 95, 151-162, 1993.
- 45. Speert, D.P., Puterman, M.L. et. al. "A Multicenter Comparison of Methods for Typing Strains of Pseudomona Aeruginosa predominantly from Patients with Cystic Fibrosis", *Journal of Infectious Diseases*, 169, 134-142, 1994.
- 46. Leroux, B. and Puterman, M.L. "Reponse to: Empirical Fitting of Discrete Distributions", *Biometrics*, 50, 578-579, 1994.
- 47. Wang, P., Puterman, M.L., Le, N. and Cockburn, I. "Mixed Poisson Regression Models with Covariate Dependent Rates", *Biometrics*, 52, 381-400, 1996.
- 48. Rotschild, A., Massoud, E.A., Puterman, M.L., Sekhon, H.S. and Thurlbeck, W.M., "Development of the Pulmonary Airways in the Fetal Rat and its Relationship to Prenatal Environment", *Pediatric Pulmonogy*, 21, 219-226, 1996.
- 49. Cherukupalli, K., Larson, J.E., Puterman, M.L. "Comparative Biochemistry of Gestational and Postnatal Lung Growth and Development in the Rat and Human", *Pediatric Pulmonology*, 24, 12-21, 1997.
- 50. Dear K., Dobson, A. and Puterman, ML, "Estimated Correlations from Epidemiological Data in the Presence of Measurement Error", *Statistics in Medicine*, 16, 2177-2189, 1997.
- 51. Wang, P., Cockburn, I. and Puterman, M.L.,. "Analysis of Patent Data A Mixed Poisson Regression Model Approach", *Journal of Business and Economic Statistics*, 16, 27-41, 1998.
- 52. Haviv, M. and Puterman, M.L. "Bias Optimality in Controlled Queueing Systems", *Journal of Applied Probability*, 35, 136-150, 1998.
- 53. Volk, T., Hahn, L., Hayden, R. Abel, J., Puterman, M.L. and Tyers, G.F. Reliability Audit of a Regional Cardiac Registry, *Journal of Thoracic and Cardiovascular Surgery*, 903-910, 1998.
- 54. Wang, P. and Puterman, ML "Mixed Logistic Regression Models", *Journal of Agricultural, Biological and Environmental Statistics*, 3, 175-200, 1998.
- 55. Wang, P. and Puterman, M.L., "Markov Poisson Regression Models for Discrete Time Series; Parts I and II", *Journal of Applied Statistics*, 26, 855-869, 871-882, 1999.
- 56. Kapalka, B, Katiriciogulu, K. and Puterman M.L. " Inventory Control in a Retail Environment with Lost Sales and Service Level Constraints", *Production and Operations Management*, 8, 393-408, 2000.
- 57. Lewis, M. and Puterman, M.L., "A Note on Bias Optimality in Controlled Queueing Systems", Journal of

- Applied Probability, 37, 1, 300-305, 2000.
- 58. Lewis, M. and Puterman, M.L., "A Probabilistic Analysis of Bias Optimality in Unichain Markov Decision Processes" *IEEE Automatic Control*, 46, 1, 96-100, 2001
- 59. Swami, S., Weinberg, C. and Puterman, M.L. "Play it Again Sam, Optimal Replacement Policies for a Motion Picture Exhibitor", *Manufacturing and Service Operations Management*, 3, 369-386, 2001.
- 60. Wang, P. and Puterman, M.L. "Analysis of Longitudinal Data of Epileptic Seizure Counts A Two-State Hidden Markov Regression Approach" *Biometrical Journal*, 43, 8, 941-962, 2001.
- 61. Ding, X., Puterman, M.L. and Bisi, A., "The Censored Newsvendor and the Optimal Acquisition of Information" *Operations Research*, 50, 3, 517-527, 2002
- 62. Urbanovich, E., Young, E., Puterman, M.L. and Fattedad, S. "Identifying High-risk Workers Compensation Claims using Logistic Regression" *Interfaces*, 33, 3, 15-26, 2003.
- 63. Begen, M.A. and Puterman, M.L., "Development of a Catch Allocation Tool Design for Production Planning at JS McMillan Fisheries", *INFOR*, 41,3, 235-244, 2003.
- 64. Goto, J., Lewis, M.E. and Puterman, M.L., "Coffee, Tea or ...? A Markov Decision Process Model for Airline Meal Provisioning", *Transportation Science*, 38,1, 107-118, 2004.
- 65. Carvalho, A. and Puterman, M.L. "Learning and pricing in an Internet environment with binomial demands", *Journal of Revenue and Pricing*, 3, 4, 320-336, 2005.
- 66. Chen, L., Gerschman, M., Odegaard, F., Puterman, D.K., Puterman, M.L., Quee, R. "Designing an Efficient Portering System", *Health Care Quarterly*, On line edition, 2005.
- 67. Patrick, J and Puterman, M.L., Improving Resource Utilization for Diagnostic Services Through Flexible Inpatient Scheduling, *Journal of Operational Research Society*, 235-245, 2007.
- 68. Odegaard, F., Chen, L., Puterman, M.L., Quee, R. "Improving the Efficiency of Porter Operations at Vancouver General Hospital; Parts I and II." *Journal for Healthcare Quality*,4-18, 2007.
- 69. Patrick, J. and Puterman, M. Reducing Wait Times Through Operations Research: Optimizing the Use of Surge Capacity, *Healthcare Policy* **3**, 75-88, 2008.
- 70. Grassmann, W., M. Puterman, P. L'Ecuyer, A. Ingolfsson, "Four Canadians Contributions to Stochastic Modeling", *INFOR* special issue commemorating the 50th Anniversary of the Canadian Operations Research Society, 4, 6, 3-14, 2008.
- 71. Patrick, J., Puterman, M.L. and Queyranne, M. "Dynamic Multi-Priority Patient Scheduling" *Operations Research*, 56, 1507-152, 2008
- 72. Puterman, ML and Wittman, S. "Match Play; A Statistical Analysis of PGA Tour Players' Career Performance" *Quantitative Analysis in Sports*, 2008.
- 73. Lavieri, M., Regan, S., Ratner, P. and Puterman, M, "Applying Operations Research to Health Human Resource Planning: A Case Study of Registered Nurse Workforce Planning in British Columbia", *Healthcare Policy*, 4,

2008.

- 74. Lavieri, M. and Puterman, M., "Optimal Nurse Workforce Planning" *Health Care Management Science*, 12,2, 2009.
- 75. Santibáñez, P., Chow, V., French, J., Puterman, M. and Tyldesley, S. "Reducing patient wait times and improving resource utilization at British Columbia cancer agency's ambulatory care unit through simulation", *Health Care Management Science*, 12, 4, 2009
- 76. Chow, V., M. Puterman, N. Salaherad, K. Huang, D. Atkins, "Reducing Surgical Ward Congestion", *POMS Special Issue on Health Care Management*, 20, 3, 418-430, 2011.
- 77. Puterman, M. L., Q. Wang, "Optimal Dynamic Clustering Through Relegation and Promotion: How to Design a Competitive Sports League" *Quantitative Analysis in Sports*, Issue 2, Article 7, 2011.
- 78. Odegaard, F and Puterman, M.L, "Selling in Channels with Uncertain Price", *Journal of Revenue Management*, 11(4), 2012.
- 79. Odegaard, F and Puterman, M.L. "Estimating Intermediate Price Transitions in Online Auctions", *Applied Stochastic Models in Business and Industry*, 28(6), 529-541, 2012.
- 80. Zhang, Y., M. Puterman, M. Nelson and D. Atkins "Simulation Optimization Approach to Long Term Care Capacity Planning" *Operations Research*, 60, 2, 249-261, 2012
- 81. Zhang, Y., M. Puterman and D.Atkins, "Analytical Long-Term Care Capacity Planning: The Shortcomings of Ratio Based Forecasts", *Health Care Policy*, 7, 4, 68-81, 2012.
- 82. Lavieri, M., M. Puterman, S. Tyldesley and W. Morris "When to Treat Prostate Cancer Patients Based on their PSA Dynamics" IIE *Transactions in Health Care Systems*, 2, 62-77, 2012.
- 83. Saure, A., J. Patrick and M. Puterman "Optimal Multi-Appointment Scheduling", EJOR, 223, 573-584, 2012.
- 84. Aristizábal R, Chow VS, French J, Khurshed F, McGahan C, Puterman ML, Tyldesley S (2012). Patient satisfaction after the redesign of a chemotherapy booking process. *Healthcare Quarterly* 15(3): 58-68.
- 85. Santibanez, P., R. Aristizabal, R., M. L. Puterman V. S Chow, W. Huang, C. Kollmannsberger, T. Nordin, N. Runzer, S. Tyldesley: "Operations Research Methods Improve Chemotherapy Patient Appointment Scheduling", *Joint Commission Journal on Quality and Safety*, 38(12): 541-553.
- 86. Puterman, M.L, Y. Zhang, S. Aydede, H. Bavafa, S. MacLeod, and J. Mackenzie, "A Framework for Evaluating a Lean Health Care Program", *Healthcare Quarterly*, 16(2), 2013.
- 87. Saure, A. and Puterman, M.L. "The Appointment Scheduling Game" *INFORMS Transactions on Education*, 14, 63-72, 2014.
- 88. Gocgun, Y., Puterman, M.L. "Dynamic Scheduling with Due Dates and Time Windows: An Application to Chemotherapy Patient Appointment Booking", *Health Care Management Science*, 17: 60-76, 2014.

- 89. Saure, A, Patrick, J and Puterman, M.L. "Simulation-Based Approximate Policy Iteration with Generalized Logistic Functions" *INFORMS Journal on Computing*, 27(3):579-595, 2015.
- 90. Ghassemi, F., S. Shechter, M. Puterman; Y. Gocgun "Trading off quick-but-risky against slow-but-safe actions in optimal search" *Operations Research*, 63(2):353-362, 2015.
- 91. Liu, E., Tyldesley, S. ... and Puterman, M.L., "A Quantitative Analysis of the Relationship between Radiation Therapy Utilization and Travel Time", *International Journal of Radiation Oncology, Biology, Physics*, 93(3):710-718, 2015.
- 92. Ma, X., Saure, A., Puterman, M.L., Taylor, M. and Tyldesley, S. "Capacity Planning and Appointment Scheduling for New Patient Oncology Consults", *Health Care Management Science*, 19(4): 347-361, 2016.
- 93. vanBerkel P.T., Litvak, N, Puterman, M.L., Tyldesley, S. "Queuing Network Models for Panel Sizing in Oncology", *QUESTA*, 90:291-306, 2018.
- 94. Chan, T., Madras, D. and Puterman, M.L., "Improving Fairness in Match Play Golf through Enhance Handicap Allocation", *Journal of Sports Analytics*, 4(4):251-262, 2018.
- 95. Liu, E., Ma, X., Sauré, A., Weber, L., Puterman, M.L., and Tyldesley, S., "Improving Access to Chemotherapy through Enhanced Capacity Planning and Patient Scheduling", *IIE Transactions on Healthcare Systems Engineering*, 9(1):1-13, 2019.
- 96. Scholer, M.N., Arcese, P., Puterman, M.L., Londoño, G.A. and Jankowski, J.E., "Survival is negatively related to basal metabolic rate in tropical Andean birds, *Journal of Functional Ecology*, 2019

PAPERS UNDER REVIEW

Werker, G and Puterman, ML "Strategic Workforce Planning Under Uncertainty", *Production and Operations Management*, under revision.

Chan, T., Fernandes, C. and Puterman, M.L., "Value Function estimation for NFL Football", *Operations Research*, under 2nd review, 2019.

SOFTWARE

The Appointment Scheduling Game asg.sauder.ubc.ca

UBC Golf Team Shots Gained Application tbirdsgolf.com

CONFERENCE PROCEEDINGS (REFEREED)

Puterman, M.L. and S.L. Brumelle, "The Analytic Theory of Policy Iteration," *Dynamic Programming and Its Applications*, M.L. Puterman, Ed., Academic Press, 91-114, 1981.

Brumelle, S.L. and M.L. Puterman, "Newton's Method for W-Convex Operators," *Generalized Concavity in Optimization and Economics*, S. Schaible and W. Ziemba, (Eds.) Academic Press, 399-414, 1981.

Carvalho, A. and M.L. Puterman, "Optimal Pricing and Reinforcement Learning", IJCNN, 2003.

CONFERENCE PROCEEDINGS (NOT REFEREED)

Puterman, M.L., "Computational Methods for Markov Decision Processes," The *Proceedings of the JACC Conference*, Charlottesville, Virginia 1981. (Invited Paper).

van Nunen, J.A.E.E. and M.L. Puterman, "On Solving G/M/s Queueing Control Systems," *Proceedings of the German Operations Research Society*, 1981.

Jorion, P. and M.L. Puterman, "An Application of Ridge Regression to Exchange Rate Determination," 1984 Business and Economic Statistics Sections, Proceedings of American Statistical Association.

Puterman, M.L., "Leverage and Influence in Autocorrelated Regression Models," 1985 Business and Economic Statistics Section, Proceedings of the American Statistical Association.

Boutlier, C. and Puterman, M.L. "Process Oriented Planning and Average Reward Optimality" IJCAI Conference, 1995.

BOOK REVIEWS

van Nunen, J.A.E.E., "Contracting Dynamic Programming," *Journal of the American Statistical Association*, 73, 362:446 (1978).

Ladany, S.P. and R.E. Machol, "Optimal Strategies in Sports," *Journal of the American Statistical Association*, 74, 367: 739-740 (1979).

Moder, J.J. and Elmaghraby, Handbook of Operations Research (Vols. 1 and 2), *Journal of the American Statistical Association*, 75, 372: 1033-1035, (1980).

Weeda, P.J., "Finite Generalized Markov Programming," *Journal of American Statistical Association*, 77, 378:489 (1982).

van der Duyn Schouten, F., "Markov Decision Processes with Continuous Time Parameter," *Journal of the American Statistical Association*, 80, 390:491(1985).

CHAPTERS IN BOOKS

Puterman, M.L. and H.G. Dunn, "Statistical Analysis of Mild Brain Dysfunction in Children of Low Birth Weight: Comparison with Control Subjects of Full Birth Weight and Subdivision by Cluster Analysis," Chapter 8 in *Sequelae of Low Birthweight: The Vancouver Study*, H.G. Dunn, ed., MacKeith Press, 1986.

Puterman, M.L., "Dynamic Programming" in *Encyclopedia of Physical Science and Technology*, R.A. Meyers, (ed.), Academic Press, Volume 4, 438-463, 1987.

Puterman, M.L., "Markov Decision Processes" in *Handbook of Operations Research*, Volume II, D.Heyman and M. Sobel, eds., North Holland Publishers, 331-434, 1990.

Puterman, M.L. and L. Kirby, "Probability and Statistics" in L. Kirby, *DNA Fingerprinting: An Introduction*, MacMillan, 149-177, 1990.

Lewis, M. and Puterman, M.L. "Bias Optimality", *Handbook of Markov Decision Processes; Methods and Applications*, E. Feinberg and A. Schwartz (Eds.) 2002, 89-112.

Zhang, Y. and Puterman, M.L. "Analytical Long Term Care Planning", *Operations Research and Health Care Policy*, G. Zaric (Ed.), Springer, New York, 2013, 39-70.

Sauré A. and Puterman M.L. "Advance Patient Appointment Scheduling". in Boucherie, R. and Van Dijk, N. (Eds.), *Markov Decision Processes in Practice*. Springer's International Series in Operations Research and Management Science, 2016.

CASES

BC Cancer Chemotherapy Scheduling Whistler-Blackcomb (A) and (B). Court Escort Services Canadian Airlines

MAGAZINE ARTICLES

Atkins, D.A., Begen, M.A., Kluczny, B., Parkinson, A. and Puterman, M. L., "Right on Queue; OR models improve passenger flows and customer service at Vancouver International Airport", *OR-MS Today*, April 2003.

Puterman, French, Tyldesley, Santibanez, "Operations Research in Cancer Care", ORMS Today, April 2012.

UNPUBLISHED COMPLETED WORKS

Puterman, M.L., "Truth in Lending In Massachusetts," Massachusetts Public Interest Group, Oct. 1973.

Puterman, M.L. and M.C. Shin, "Codes for Generating and Solving Markov Decision Problems," Working Paper No. 561, Faculty of Commerce, UBC, 1978.

Ling, D. and M.L. Puterman, "A Diffusion Process Model for a Two Product Inventory System," Working Paper No. 663, Faculty of Commerce, U.B.C., 1979.

Puterman, M.L., "A Comparison of Sampling and Estimation Procedures for Weekly Import Data," Technical Paper No.1, Office of Energy Data Operation, EIA, U.S. Department of Energy, 1981.

Puterman, M.L., "The Analysis of Crossover Designs with Several Replicates per Subject", June 1990. Hancock, E.J., F.P. Yong, M.L. Puterman, A.J. Tingle, "Rubella Vaccine Associated Arthropathy: Kinetics of rubella virus specific IgG subclass responses".

Begen, M.A., Puterman, M.L. and Wu, E., Operational and Tactical Planning in the Beverage Industry